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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER

HUYNH, KIM T

ART UNIT	PAPER NUMBER
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2189

DATE MAILED: 07/01/2003

6

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/752,099

Applicant(s)

CONNOR ET AL.

Examiner

Kim T. Huynh

Art Unit

2189

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 28 December 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 28 December 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413) Paper No(s) \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-30 are rejected under 35 U.S.C. 102(e) as being anticipated by Kuo et al. (US Patent 6,047,001)

As per claims 1, 10, 26, Kuo discloses a method comprising:

- receiving status information concerning a size and location of a data packet; (col.7, lines 37-65)
- receiving statistical information concerning a bus condition; and (col.7, lines 37-65)
- storing the status information and the statistical information on a storage device using a single write procedure. (col.2, lines 13-22), (col.2, lines 37-40)

As per claim 2, Kuo discloses the method further comprising receiving the data packets from the data bus. (col.8, lines 1-10)

As per claim 3, Kuo discloses the method further comprising monitoring the status of the bus and generating the statistical information. (col.7, lines 37-67), (col.8, lines 1-10), (also see abstract)

As per claim 4, Kuo discloses the method further comprising generating control information which specifies the storage location for each data packet. (col.7, lines 37-67), (col.8, lines 1-10)

As per claim 5, Kuo discloses the method further comprising storing the control information on the storage device. (col.7, lines 60-67), (col.8, lines 1-10)

As per claim 7, Kuo discloses the method further comprising retrieving the data packets stored on the storage device. (col.7, lines 37-65)

As per claim 8, Kuo discloses the method further comprising retrieving the status information stored on the storage device. (col.8, lines 11-28)

As per claim 9, Kuo discloses the method further comprising monitoring the data packets, generating the status information about the data packets, and providing the status information to the method. (col.8, lines 40-54), (also see abstract)

As per claim 11, Kuo discloses an Input/Output (I/O) controller connected to a data bus; wherein said I/O controller receives from said data bus packets and said I/O controller monitors the status of said bus and generates said statistical information. (col.8, lines 1-10, 40-54)

As per claim 12, Kuo discloses a control information write process for receiving control information which specifies a storage location where each said data

packet is to be stored and storing said control information on said storage device.

(col.7, lines 37-65)

As per claim 13, Kuo discloses a device driver process for generating said control information and providing it to said control information write process.

(col.7, lines 37-65)

As per claims 6, 14, Kuo discloses the method further comprising storing each data packet on the storage device at the storage location specified by the control information. (col.7, lines 37-65), (col.8, lines 40-54)

As per claims 15, 18, 20 Kuo discloses the reporting process further comprising:

- a first/second communication bus for interfacing said device driver process and said statistics reporting process; (col.7, lines 66-67), (col.8, lines 1-10)
- wherein said device driver process provides said control information to said control information write process via said first/second communication bus. (col.7, lines 37-65)

As per claim 16, Kuo discloses device driver process includes a packet retrieval process for retrieving said data packets stored on said storage device via said first communication bus. (col.7, lines 37-65)

As per claims 17, 19, Kuo discloses device driver process includes a status retrieval process for retrieving said status information stored on said storage device via said first/second communication bus. (col.7, lines 37-65)

As per claim 21, Kuo discloses wherein said I/O controller includes a status transmission process for monitoring said data packets, generating said status information concerning the size and condition of said data packets, and providing said status information to said status information process via said second communication bus. (col.7, lines 37-65), (col.8, lines 1-10)

As per claim 22, Kuo discloses the storage device is a system memory. (col.5, lines 31-45)

As per claims 23, 27, Kuo discloses wherein said system memory includes a ROM and said statistics reporting process resides on said ROM. (col.7, lines 37-65)

As per claim 24, Kuo discloses wherein storage device includes a dedicated memory area for storing said statistical information, said control information, and said status information. (col.7, lines 37-65)

As per claim 25, Kuo discloses dedicated memory area includes:

- a control information storage area for contiguously storing said control information, and (col.7, lines 37-65)
- a status/statistical information storage area for contiguously storing said status information and said statistical information; (col.7, lines 37-65)
- wherein said unified write process stores said status information and said statistical information using a single write procedure.(col.2, lines 13-40)

As per claim 28, Kuo discloses a statistics reporting system comprising:

- an Input/Output (I/O) controller connected to a distributed computing network; wherein said I/O controller receives data packets from said network, monitors the status of said network and generates statistical information concerning said network's condition; (col.8, lines 1-10, 40-54)
- a status information process for receiving status information concerning a data packet; (col.7, lines 37-65)
- a statistics information process for receiving said statistical information; and (col.7, lines 37-65)
- a unified write process for storing said status information and said statistical information on a storage device using a single write procedure; and (col.2, lines 13-22), (col.2, lines 37-40), (col.7, lines 37-65)
- a central processing unit (CPU) for executing said statistics reporting process which resides on a ROM. (col.7, lines 66-67), (col.8, lines 1-10)

As per claim 29, Kuo discloses a control information write process for receiving control information which specifies a storage location where each said data packet is to be stored and storing said control information on said storage device. (col.7, lines 37-65)

As per claim 30, Kuo discloses the statistics reporting system further comprising:

- a first communication bus for interfacing said device driver process and said statistics reporting process; and (col.7, lines 66-67), (col.8, lines 1-10)
- a second communication bus for interfacing said I/O controller and said statistics reporting process. (col.7, lines 66-67), (col.8, lines 1-10)

### **Conclusion**

3. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Robles [USPN 5,758,107] discloses status and control information transferred via bus.

Onagawa [USPN 6,567,882] discloses monitors PCI bus transaction and generates PCI status information.

4. *A shortened statutory period for reply is set to expire THREE months from the mailing date of this communication. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) months from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. If no period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) months from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C 133).*

*Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).*

5. *Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kim Huynh whose telephone number is (703)305-5384 or via e-mail addressed to [kim.huynh3@uspto.gov]. The examiner can normally be reached on M-F 8:30AM- 6:30PM.*

*If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mark Rinehart can be reached on (703) 305-4815 or via e-mail addressed to [mark.rinehart@uspto.gov]. The*



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*fax-phone numbers for the organization where this application or proceeding is assigned are (703)746-7249 for regular communications and (703)746-7238 for After Final communications.*

*Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)306-5631.*

Kim Huynh

June 26, 2003

A stylized, handwritten signature in black ink, consisting of several overlapping loops and a long horizontal stroke at the bottom.

MARK H. RINEHART  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100